# Goal-directed Generation of Molecules with Conditional Generative Models

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## Motivation

• Molecule property prediction



• What about the inverse?  $\rightarrow$  Goal-directed generation of molecules



## Problem setting

- Suppose we have:
  - training set  $\mathcal{D} = \{(\mathbf{x}_i, \mathbf{y}_i)\}_{i=1}^N$ , where  $\mathbf{x}_i$  represents the molecules and  $\mathbf{y}_i \in \mathcal{Y}$  is the corresponding property vector
  - and we have access to oracle function f that map  $\mathbf{x}_i$  to  $\mathbf{y}_i$ .
- Goal: Learn a generative model that can model  $p_{\theta}(\mathbf{x}|\mathbf{y}) \approx \tilde{p}(\mathbf{x}|\mathbf{y})$

## Maximizing expected reward

When there is a natural notion of distance  $D(\mathbf{y}, \mathbf{y}')$  for values in  $\mathcal{Y}$ , then we can define:

• A reward  $R(\mathbf{x}; \mathbf{y})$  for each  $\mathbf{x}$  and for a given state  $\mathbf{y}$ 

$$R(\mathbf{x};\mathbf{y}) = \exp\{-D(f(\mathbf{x}),\mathbf{y})\}.$$
(1)

•  $p_{\theta}(\mathbf{x}|\mathbf{y})$  defines a stochastic policy which is a distribution over **x** for a given state **y**.

Learn the model  $p_{\theta}(\mathbf{x}|\mathbf{y})$  by optimizing the expected reward:

• Expected reward:

$$\mathcal{J} = \mathbb{E}_{\tilde{p}(\mathbf{y})} \mathbb{E}_{p_{\theta}(\mathbf{x}|\mathbf{y})}[R(\mathbf{x};\mathbf{y})]$$
(2)

### Score-function gradient estimator

Standard way: use score function estimator to avoid non-differentiablity and taking derivative of the expectation:

$$\nabla_{\theta} \mathcal{J} = \mathbb{E}_{\tilde{p}(\mathbf{y})} \mathbb{E}_{p_{\theta}(\mathbf{x}|\mathbf{y})} [R(\mathbf{x}; \mathbf{y}) \nabla_{\theta} \log p_{\theta}(\mathbf{x}|\mathbf{y})].$$
(3)

- Noisy gradient  $\Rightarrow$  Sample inefficient
- Need warm start from a pretrained model.
- Need control variate

# A computationally efficient alternative

Assume we have a finite non-negative reward function  $R(\mathbf{x}; \mathbf{y})$ , with  $0 \le R(\mathbf{x}; \mathbf{y}) < \infty$ , and let  $c(\mathbf{y}) = \sum_{\mathbf{x}} R(\mathbf{x}; \mathbf{y})$ 

We can then rewrite the objective in Eq. (2), observing that

$$\mathbb{E}_{\hat{p}(\mathbf{y})}\mathbb{E}_{p_{\theta}(\mathbf{x}|\mathbf{y})}[R(\mathbf{x};\mathbf{y})] = \mathbb{E}_{\hat{p}(\mathbf{y})}c(\mathbf{y})\mathbb{E}_{\bar{R}(\mathbf{x}|\mathbf{y})}[p_{\theta}(\mathbf{x}|\mathbf{y})],$$
where  $\bar{R}(\mathbf{x}|\mathbf{y}) = R(\mathbf{x};\mathbf{y})/c(\mathbf{y})$ 
Reward function
Normalised reward distribution

The gradient is now:

$$\nabla_{\theta} \mathcal{J} = \mathbb{E}_{\hat{p}(\mathbf{y})} c(\mathbf{y}) \mathbb{E}_{\bar{R}(\mathbf{x}|\mathbf{y})} [\nabla_{\theta} p_{\theta}(\mathbf{x}|\mathbf{y})].$$
(4)

- Sample efficient.
- No need for control variate, warm start.
- Need an  $\overline{R}$  that defines a reward distribution where we can sample from.

# Sampling from the normalized reward distribution

 Re-express the normalized reward distribution in terms of a distribution over training indices.

$$\mathbb{E}_{\bar{R}(\mathbf{x}|\mathbf{y}_i)}[\log p_{\theta}(\mathbf{x}|\mathbf{y}_i)] \approx \sum_{j=1}^{N} \bar{R}(\mathbf{x}_j|\mathbf{y}_i) \log p_{\theta}(\mathbf{x}_j|\mathbf{y}_i) \approx \mathbb{E}_{p(j|i)}[\log p_{\theta}(\mathbf{x}_j|\mathbf{y}_i)]$$
(5)

where the distribution over indices p(j|i) is defined as

$$p(j|i) = \frac{R(\mathbf{x}_j; \mathbf{y}_i)}{\sum_{j=1}^{N} R(\mathbf{x}_j; \mathbf{y}_i)}.$$
(6)

$$\bar{R}(\mathbf{x}_j|\mathbf{y}_i) = \frac{R(\mathbf{x}_j;\mathbf{y}_i)}{\sum_{\mathbf{x}} R(\mathbf{x};\mathbf{y}_i)}$$
(7)

# Experiment settings

- Tasks
  - proof of concept: generating python integer expressions that evaluate to a given value
  - Final goal: generating molecules which should exhibit a given set of properties

## Toy dataset

- Synthetic data for expressions
- Properties: evaluate to certain values
- Examples of generated expression that evaluates to 100:

```
((66+41)+10)-17
39+19+42
65+35
81+19
58+42
83+17
```

• Generation performance

Objective	Valid	Unique	Novel
ML Ours	$\begin{array}{c} 0.9888 \pm 0.0002 \\ 0.9903 \pm 0.0003 \end{array}$	$\begin{array}{c} 0.9681 \pm 0.0004 \\ 0.9635 \pm 0.0006 \end{array}$	$\begin{array}{c} 0.9301 \pm 0.0003 \\ 0.9271 \pm 0.0005 \end{array}$

Table 1: Python integer expression generation results

• Conditional generation performance

	MAE	Accuracy	Within $\pm$ 3	$-\log p(\mathbf{x} \mathbf{y})$
ML 13. Ours 11.	$917 \pm 0.117$ <b>823</b> $\pm 0.145$	$\begin{array}{c} {\bf 0.166 \pm 0.001} \\ {\bf 0.166 \pm 0.001} \end{array}$	$\begin{array}{c} 0.596 \pm 0.001 \\ \textbf{0.682} \pm 0.001 \end{array}$	<b>1.830</b> 1.986

Table 2: Python integer expression conditional generation results

## Conditional generation of molecules

Experimental setup:

- Dataset: QM9 [Ramakrishnan et al., 2014], ChEMBL [Mendez et al., 2018]
- Molecule representation: SMILES strings [Weininger, 1988]
- Properties: Nine molecule properties including both continuous and discrete values 1

How often the model generate valid, unique, novel molecules?

Model	QM9 Valid Unique Novel			ChEMBL Valid Unique Novel		
ML	0.962	<b>0.967</b>	<b>0.366</b> 0.261	0.895	<b>0.999</b>	<b>0.990</b>
Ours	<b>0.989</b>	0.963		<b>0.945</b>	0.9986	0.981

Table 3: Molecule generation quality.

<sup>&</sup>lt;sup>1</sup>#rotatable bonds, #aromatic rings, logP, QED-score, tpsa, bertz, molecule weight, atom Counter, #ringstion

## Generation performance

• How plausible the generated molecules?

Generation quality filter [Brown et al., 2019]: aim to detect those which are "potentially unstable, reactive, laborious to synthesize, or simply unpleasant"

Generated molecules	Random sample from ChEMBL test set
71.3%	72.2%

Table 4: Percentage of the molecules that pass the filter.

• Example of generated molecules from the ChEMBL model



Figure 1: Those which fail to pass the quality filter are marked in red.

# Conditional generation performance

QM9: MSE									
Model	rotatable bonds	aromatic rings	logP	QED	TPSA	bertz	mol weight	fluorine count	# rings
ML	0.0468	0.0014	0.0390	0.0010	11.18	80.77	4.425	0.0023	0.0484
Ours	0.0166	0.0005	0.0184	0.0004	3.859	63.67	1.184	0.0004	0.0120
			QM	9: Correlation	n coefficient				
ML	0.9809	0.9944	0.9805	0.9063	0.9871	0.9843	0.9651	0.9783	0.9817
Ours	0.9937	0.9972	0.9901	0.9634	0.9954	0.9840	0.9887	1.0000	0.9948
				ChEMBL:	MSE				
ML	0.1552	0.0388	0.1450	0.0050	27.64	1708.	103.9	0.0128	0.0226
Ours	0.1555	0.0268	0.1320	0.0046	35.05	2512.	174.9	0.0074	0.0191
CheEMBL: Correlation coefficient									
ML	0.9936	0.9862	0.9777	0.9450	0.9906	0.9934	0.9956	0.9940	0.9931
Ours	0.9934	0.9901	0.9796	0.9496	0.9878	0.9902	0.9926	0.9966	0.9943

Table 5: Conditional generation performance for the molecules datasets.

## Conditional generation performance

• Can the model generate various molecules for a fixed property vector?



Figure 2: Molecules generated from a given property value vector for QM9 MODEL. The boxed ones are molecules that have not been seen before.

## Sequence diversification

To encourage a more diverse exploration of the sequence space and thus more diverse generations, we couple our objective with an entropy regulariser:

$$\max_{\theta} \sum_{i=1}^{N} [\mathbb{E}_{\bar{R}(\mathbf{x}|\mathbf{y}_i)}[\log p_{\theta}(\mathbf{x}|\mathbf{y}_i)] + \lambda H(p_{\theta}(\mathbf{x}|\mathbf{y}_i))].$$
(8)

In a discrete sequence model the gradient of the entropy term can be computed as

$$\nabla_{\theta} H(p_{\theta}(\mathbf{x}|\mathbf{y})) = -\nabla_{\theta} \mathbb{E}_{p_{\theta}(\mathbf{x}|\mathbf{y})} \log p_{\theta}(\mathbf{x}|\mathbf{y})$$
$$= -\mathbb{E}_{p_{\theta}(\mathbf{x}|\mathbf{y})} [(1 + \log p_{\theta}(\mathbf{x}|\mathbf{y}))\nabla_{\theta} \log p_{\theta}(\mathbf{x}|\mathbf{y})];$$
(9)

• En efficient approximation of the entropy:

$$H(p_{\theta}(\mathbf{x}|\mathbf{y})) \approx H[p_{\theta}(\mathbf{x}_{1}|\mathbf{y})] + \sum_{t=2}^{T} \left[ H[p_{\theta}(\mathbf{x}_{t}|\mathbf{x}_{1:t-1}^{*},\mathbf{y})] \right].$$

where  $\mathbf{x}_t^*$  is the maximum probability element at each step.

## More baselines

- Data augmentation on discrete sequences: RAML [Norouzi et al., 2016]: maximizes a conditional log probability of the augmented versions of the training instances.
- Edit distance augmentation

т	validity	unicity	MSE
One	0.265	0.322	194.945
Two	0.095	0.421	468.556
Three	0.046	0.393	725.128
Four	0.0276	0.422	985.451
Five	0.0204	0.480	1496.023
Six	0	-	-

Table 6: Edit distance augmentation evaluation on QM9 dataset



Table 7: Effect of the entropy on the generated sequences from the validation set.

Data augmentation fails when the underlying sequences properties are sensitive to local change.

Proposed Model

# De Novo Molecular Design

• Global optimization: generating from scratch



• learning  $p_{\theta}(\mathbf{x}|\mathbf{y})$ 

• Local optimization: starting from a prototype molecule



Figure 3: [Green et al., 2020]

- learning  $p_{\theta}(\mathbf{x}|\mathbf{y}, \mathbf{z})$  where  $\mathbf{z} \sim q(\mathbf{z}|\mathbf{x})$ , style transfer
- Conditional generation: learning  $p_{\theta}(\mathbf{x}|\mathbf{y})$  with auto-regressive models<sup>2</sup>
- Style transfer: learning  $p_{\theta}(\mathbf{x}|\mathbf{y}, \mathbf{z})$  where  $\mathbf{z} \sim q(\mathbf{z}|\mathbf{x})$  with VAEs<sup>3</sup>

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<sup>&</sup>lt;sup>2</sup>A.Mollaysa, B.Paige, A.Kalousis, Goal-directed Generation of Discrete Structures with Conditional Generative Models, NeurIPS 2020.

<sup>&</sup>lt;sup>3</sup>A.Mollaysa, B.Paige, A.Kalousis, Conditional generation of molecules from disentangled representations, Machine Learning for Molecules Workshop NeurIPS 2020

- Auto-regressive models
  - have very good validity and conditional generation performance
  - can not do style transfer
- · Latent variable models
  - can do style transfer
  - poor validity and poor style transfer/conditional generation performance



Figure 4: A comparison of simulated logP values and Tanimoto similarity to a target on the ZINC dataset.

# Auto-regressive models VS latent variable models

Model	encoder	decoder	validity $\mathbf{z} \sim p(\mathbf{z})$	Conditional $\mathbf{z} \sim p(\mathbf{z})$	l generation $\mathbf{z} \sim q(\mathbf{z} \mathbf{x})$
CVAE [Lim et al., 2018]	LSTM	LSTM w.o. Teacher forcing	0.6%	0.0012%	0.0147%
SSVAE [Kang and Cho, 2018]	bi- GRU	GRU w. Teacher forcing	99.3	75.6%	78.0%

Table 8: Comparison in terms of network structure and generation strategy

## Combining the best of two world

- Possible solutions
  - provide supervision to for style transfer: using a pre-trained  $\tilde{p}(\mathbf{x}|\mathbf{y})$  as a regularizer:

$$\max_{\theta} \mathbb{E}_{\mathbf{x} \sim p_{\theta}(\mathbf{x}|\mathbf{y}, \mathbf{z})} \mathbb{E}_{\mathbf{z} \sim p(\mathbf{z})} \log \tilde{p}(\mathbf{x}|\mathbf{y})$$
(10)

• learn an auto-regressive model  $p(\mathbf{x}|\mathbf{y}, \mathbf{z})$  that condition on both  $\mathbf{y}$  and  $\mathbf{z}$ , where  $\mathbf{z}$  is a learned structural representations of the molecule.

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Smiles, a chemical language and information system. 1. introduction to methodology and encoding rules. Journal of chemical information and computer sciences, 28(1):31–36. Proposed Model

## Numerical instability

To avoid numerical instability when  $p_{\theta}(\mathbf{x}|\mathbf{y})$  takes very small values, we instead work in terms of log probabilities:

$$\arg\max_{\theta} \mathcal{J} = \arg\max_{\theta} \log \mathcal{J}, \tag{11}$$

where we then have

$$\log \mathcal{J} = \log \left( \mathbb{E}_{\tilde{\rho}(\mathbf{y})} c(\mathbf{y}) \mathbb{E}_{\bar{R}(\mathbf{x}|\mathbf{y})} [p_{\theta}(\mathbf{x}|\mathbf{y}_{i})] \right) \geq \mathbb{E}_{\tilde{\rho}(\mathbf{y})} \mathbb{E}_{\bar{R}(\mathbf{x}|\mathbf{y})} [\log p_{\theta}(\mathbf{x}|\mathbf{y})] + const.$$

which motivates optimizing a lower-bound on  $\log \mathcal{J}$ ,

$$\mathcal{L} = \mathbb{E}_{\tilde{p}(\mathbf{y})} \mathbb{E}_{\bar{R}(\mathbf{x}|\mathbf{y})} [\log p_{\theta}(\mathbf{x}|\mathbf{y})]$$
(12)

and whose gradient is simply

$$\nabla_{\theta} \mathcal{L} = \mathbb{E}_{\tilde{p}(\mathbf{y})} \mathbb{E}_{\bar{R}(\mathbf{x}|\mathbf{y})} [\nabla_{\theta} \log p_{\theta}(\mathbf{x}|\mathbf{y})].$$
(13)

## Conclusion

- We present a simple, tractable, and efficient algorithm to learn the conditional distribution of molecules.
- By sampling directly from the approximate normalized reward distribution, our approach sidesteps challenges of directly maximizing an expected reward.